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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: ALZA CorporationApplication No./Patent No.: 60/058,264 Filed/Issue Date: September 9, 1997Entitled: PHARMACEUTICAL COATING COMPOSITION AND METHOD OF USEALZA Corporation, aCorporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or2. ☐ an assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

In the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 8786, Frame 0718, or for which a copy thereof is attached.**OR**B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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(NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

December 24, 2003

Date

(650) 564-5106

Telephone number

Angela Nwaneri

Typed or printed name



Signature

V.P. Patent Law

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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DECEMBER 22, 1997

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RECORDATION DATE: 09/09/1997

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BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

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DOC DATE: 09/08/1997

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DOC DATE: 09/08/1997

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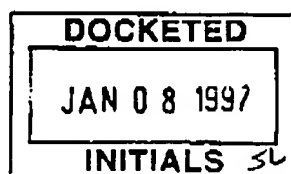
DOC DATE: 09/08/1997

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DOC DATE: 09/08/1997

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DOC DATE: 09/08/1997



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TARA WASHINGTON, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

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# ASSIGNMENT (JOINT)

WHEREAS, we, David Edgren, Shu Li, Patrick S.-L. Wong, Gurdish Bhatti, and Si-Hong Yum have made certain new and useful inventions in PHARMACEUTICAL COATING COMPOSITION AND METHOD OF USE, set forth in an application for Letters Patent of the United States, ☒ which is a provisional application filed herewith; ☐ bearing Application No. \_\_\_\_\_, and filed on \_\_\_\_\_; and

WHEREAS, ALZA Corporation (hereinafter referred to as "ALZA"), a corporation of Delaware, is desirous of acquiring the entire right, title and interest in and to the said inventions; the right to file applications on said inventions; and the entire right, title and interest in and to any applications, including provisional applications, for Letters Patent of the United States or other countries claiming priority to said applications; in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon; and any rights of priority of said inventions, applications and patents.

NOW, THEREFORE, for one Dollar (\$1.00) and other valuable consideration, receipt of which is hereby acknowledged, we have agreed to and do hereby:

SELL, ASSIGN, TRANSFER AND SET OVER unto ALZA, its successors, legal representatives and assigns our entire right, title and interest in and to the above-mentioned inventions, the right to file applications on said inventions, and the entire right, title and interest in and to any applications for Letters Patent of the United States or other countries claiming priority to said applications, and any and all Letters Patent or Patents of the United States of America and all foreign countries that may be granted therefor and thereon, and in and to any and all applications claiming priority to said applications, including provisional applications, divisions, continuations, and continuations-in-part of said applications, renewals, and reissues and extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, to the full end of the term or terms for which Letters Patent or Patents may be granted, including any rights of priority of said inventions, applications and patents; together with all claims for damages or other relief throughout the world by reason of misappropriation or other wrongful use or disposition of said invention, or infringement of said patents or patent applications, including the right to sue for, obtain, enforce and enjoy, in its own name, any and all remedies that may be available;

TO BE HELD AND ENJOYED by ALZA, its successors, assigns and legal representatives as fully and entirely as the same would have been held and enjoyed by us had this sale, assignment and transfer not been made; and

WE HEREBY COVENANT AND AGREE for ourselves and our heirs, executors and legal representatives, to assist ALZA, its successors, assigns and legal representatives in the prosecution of said applications, and in any reissue, reexamination, interference or other legal or administrative proceeding, which may arise involving said invention, said patent applications, or said patents, and to execute without further consideration, upon request by ALZA, its successors, assigns, or legal representatives all divisional, continuing, reissue, term extension or other applications for patents in any country, as well as such other documents that may be used to fully secure to ALZA, its successors, assigns and legal representatives the rights, titles and interests granted herein; and

WE HEREBY AUTHORIZE AND REQUEST the Commissioner of Patents and Trademarks of the United States of America to issue any and all United States patents which may be granted upon said United States applications or any part of them, or upon said inventions or any part thereof, to ALZA Corporation.

David Edgren this 8<sup>th</sup> day of September 1997; and  
David Edgren

Shu Li this 8<sup>th</sup> day of September 1997; and  
Shu Li

Patrick S.-L. Wong this 8<sup>th</sup> day of September 1997; and  
Patrick S.-L. Wong

Gurdish Bhatti this 8<sup>th</sup> day of September 1997; and  
Gurdish Bhatti

Liang-Chang Dong this 8<sup>th</sup> day of September 1997; and  
Liang-Chang Dong

Si-Hong Yum this 8<sup>th</sup> day of September 1997.  
Si-Hong Yum